

Physics of seismic sources *an overview*

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Seismological inference

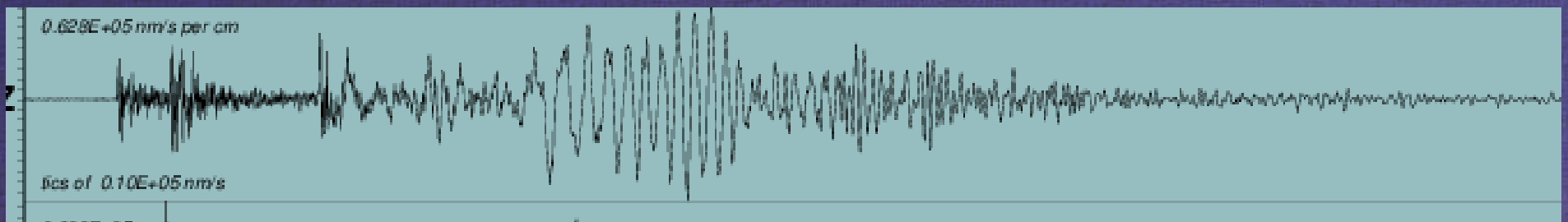
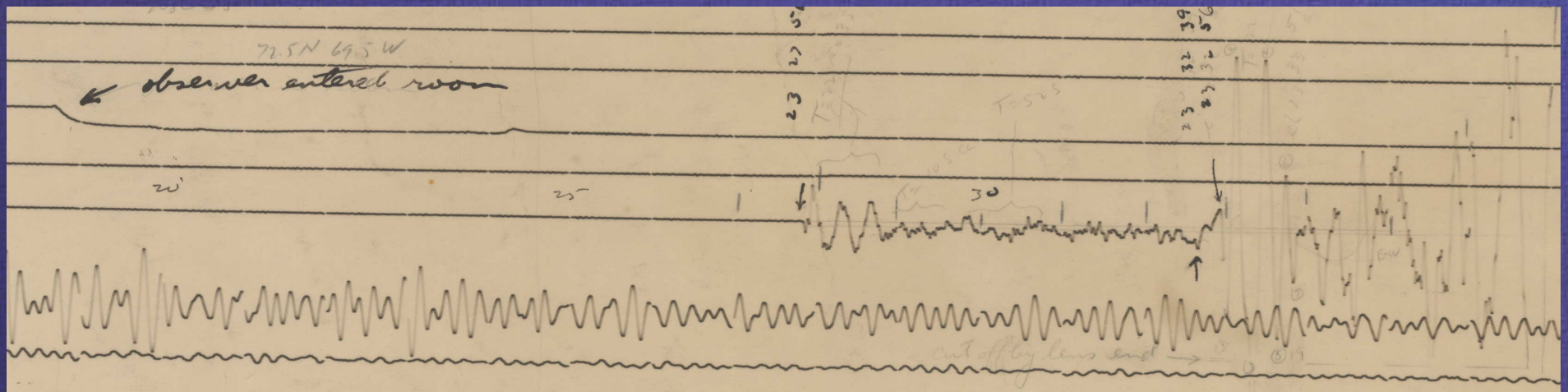
Seismology deals with two broad classes of problems:

- ✦ Structure and composition of the Earth in various scales
global, regional (~ 2000 km), local (~ 100 km), etc.
- ✦ Earthquakes: their origins, physics, effects and (possible) prediction

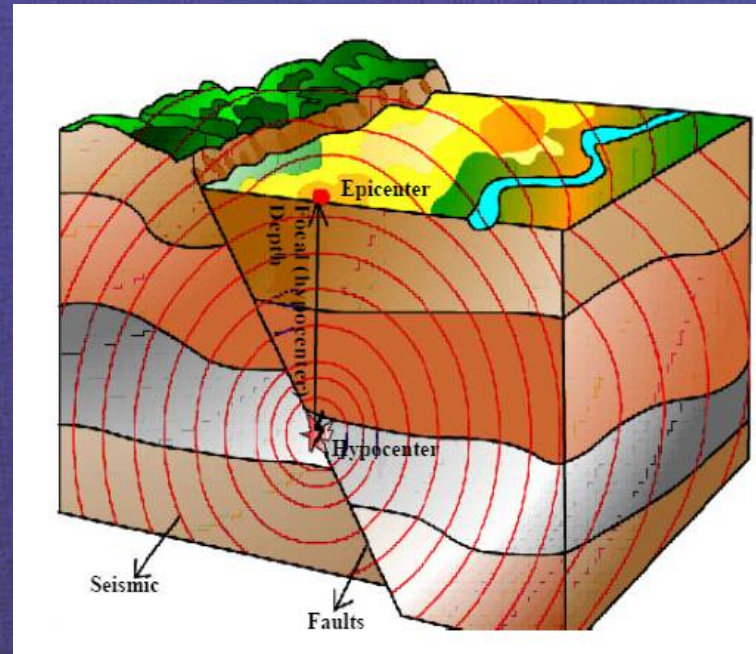
Inference is always based on records made on surface (space)
There is no possibility of methodological direct measurements
(especially seismic sources).

Seismic waves - primary source of information

Seismograms: records of seismic waves

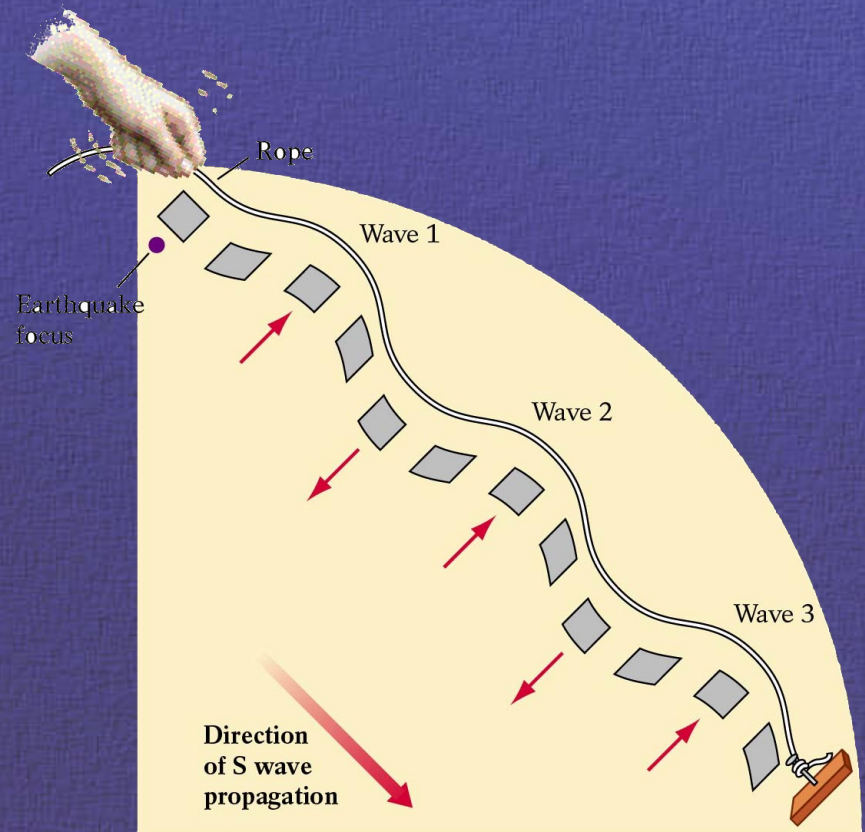
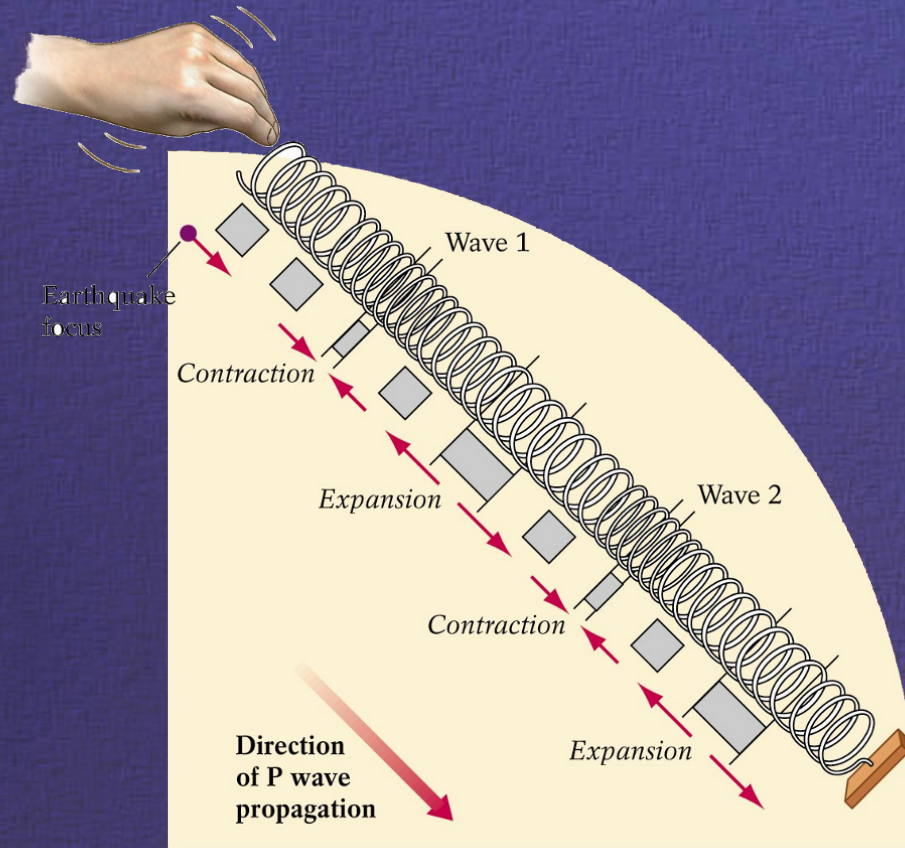


Seismic waves - information contents

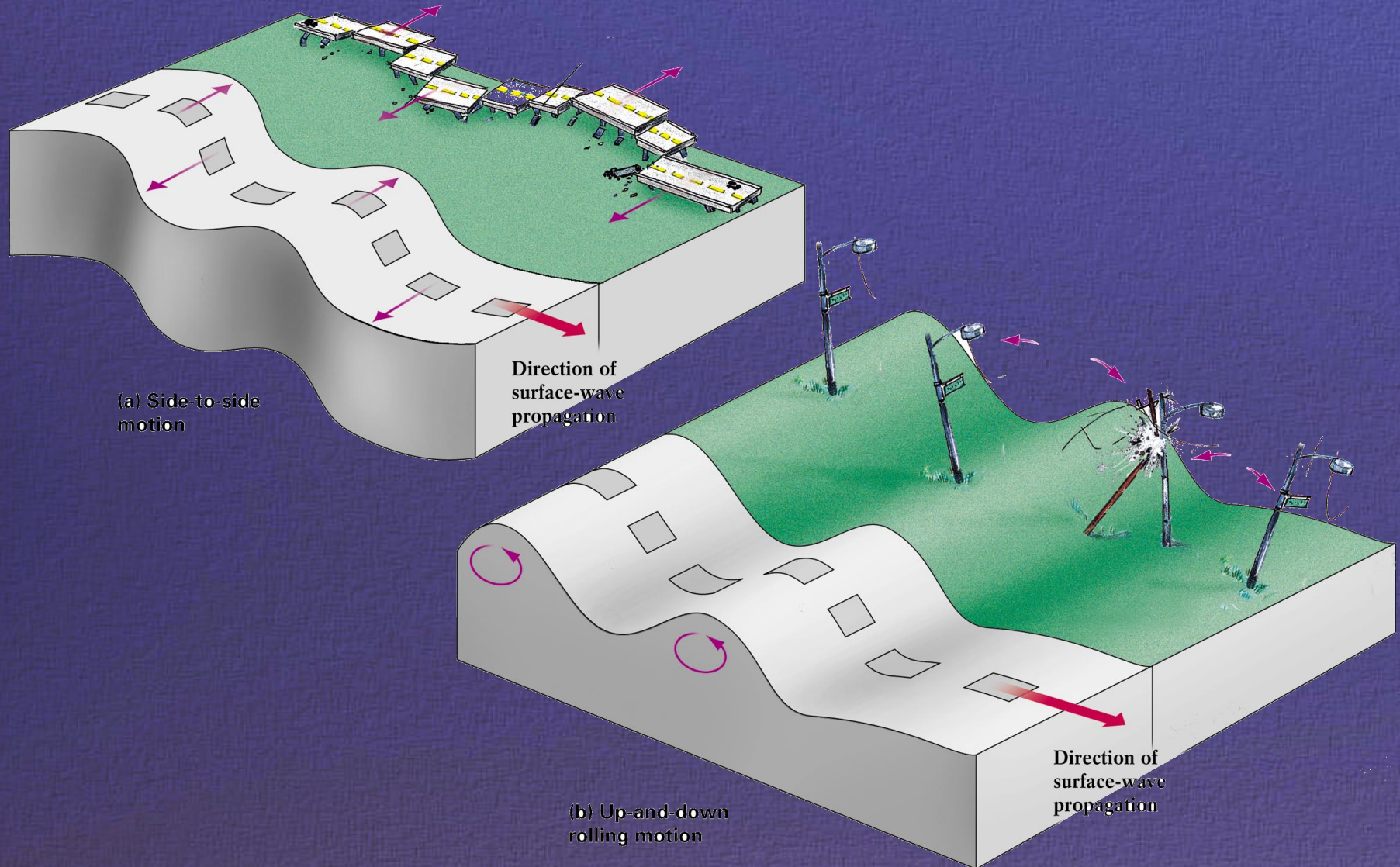


$$\mathbf{u}(\mathbf{r}, t) = \int_{R', T'} G(\mathbf{r}, \mathbf{r}', t - t') \mathbf{S}(\mathbf{r}', t') d\mathbf{r}' dt'$$

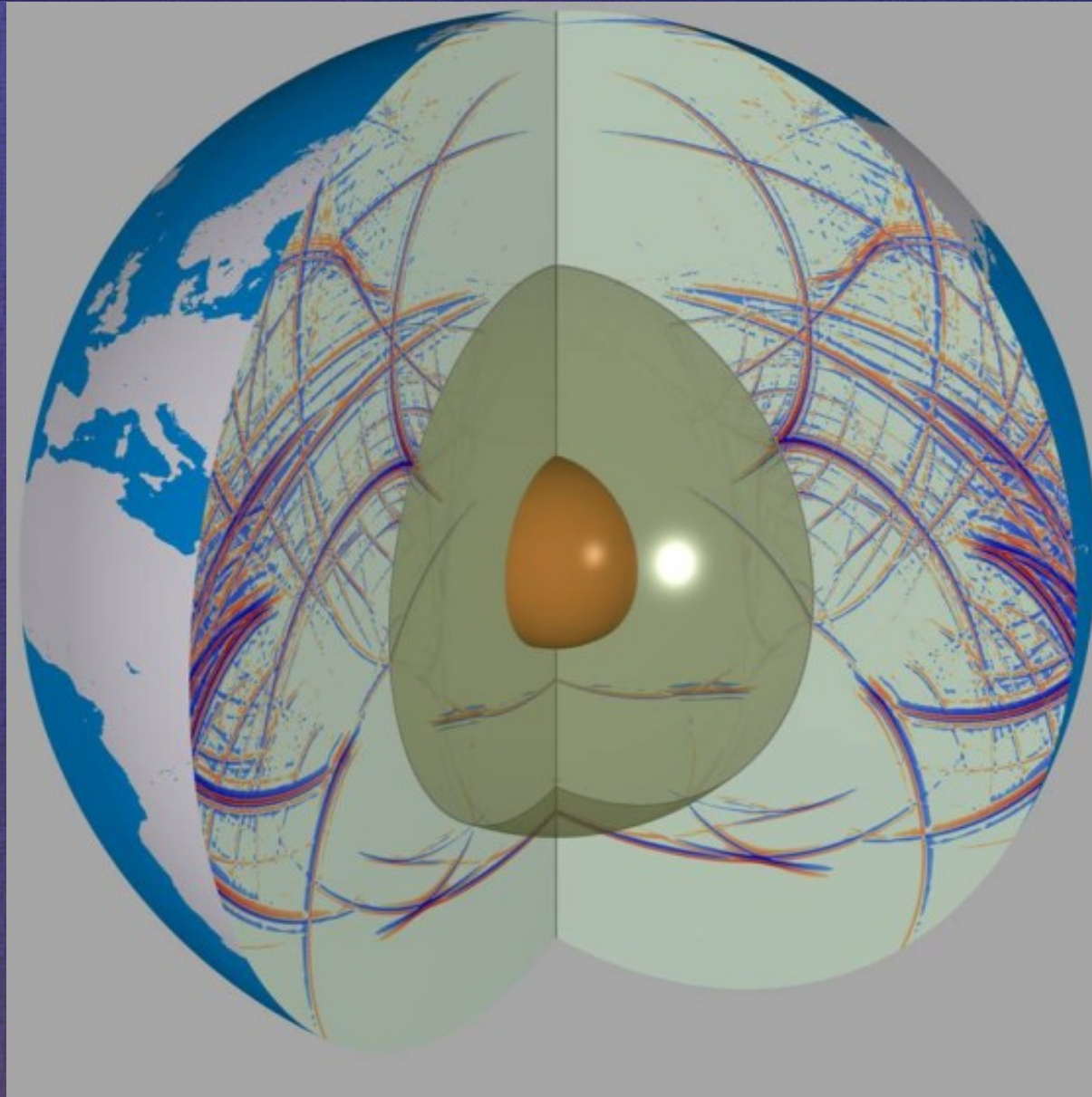
Seismic waves - P and S waves



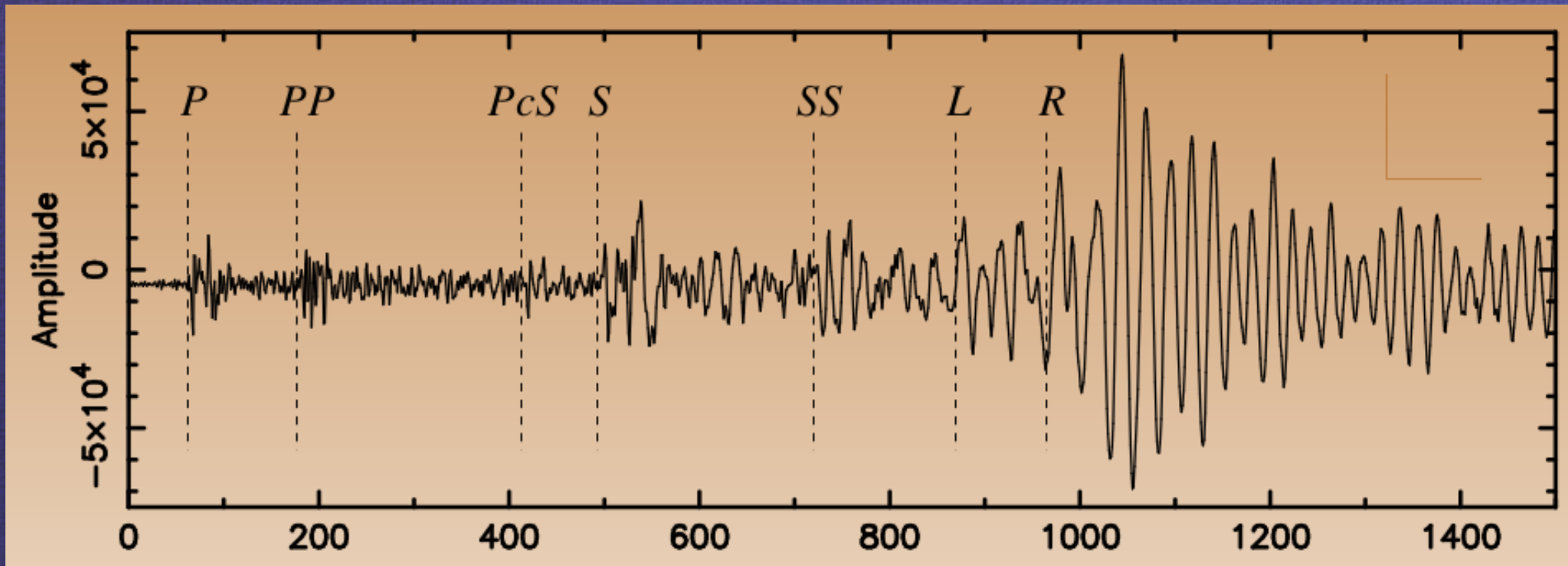
Seismic waves - surface waves



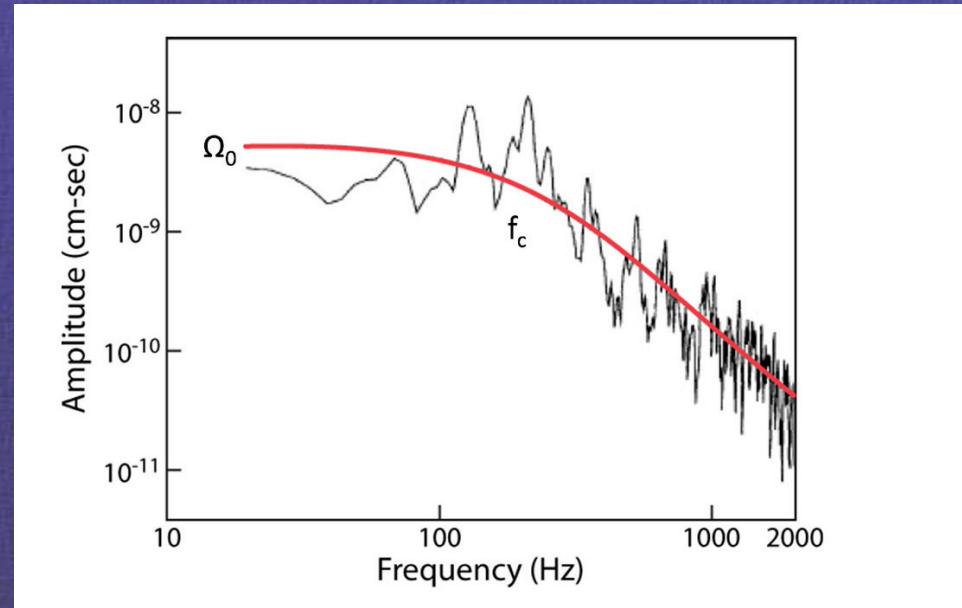
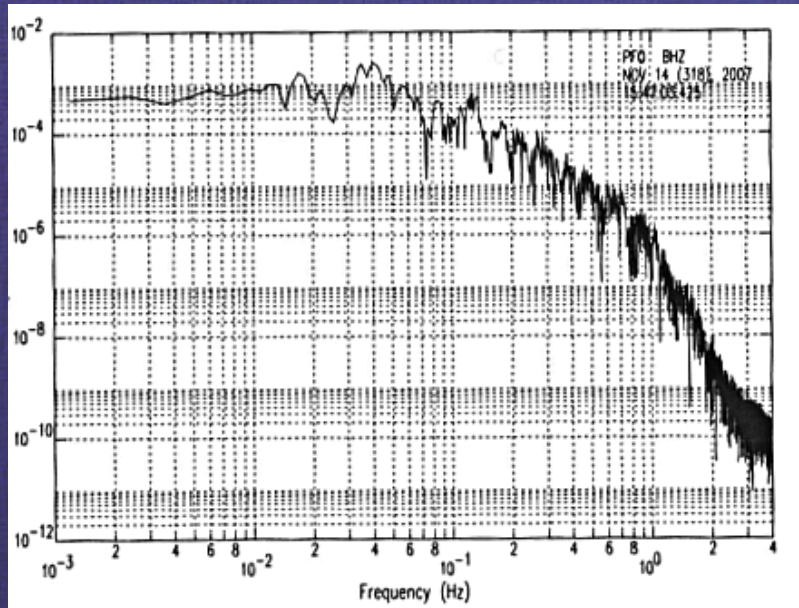
Seismic waves - propagation effects



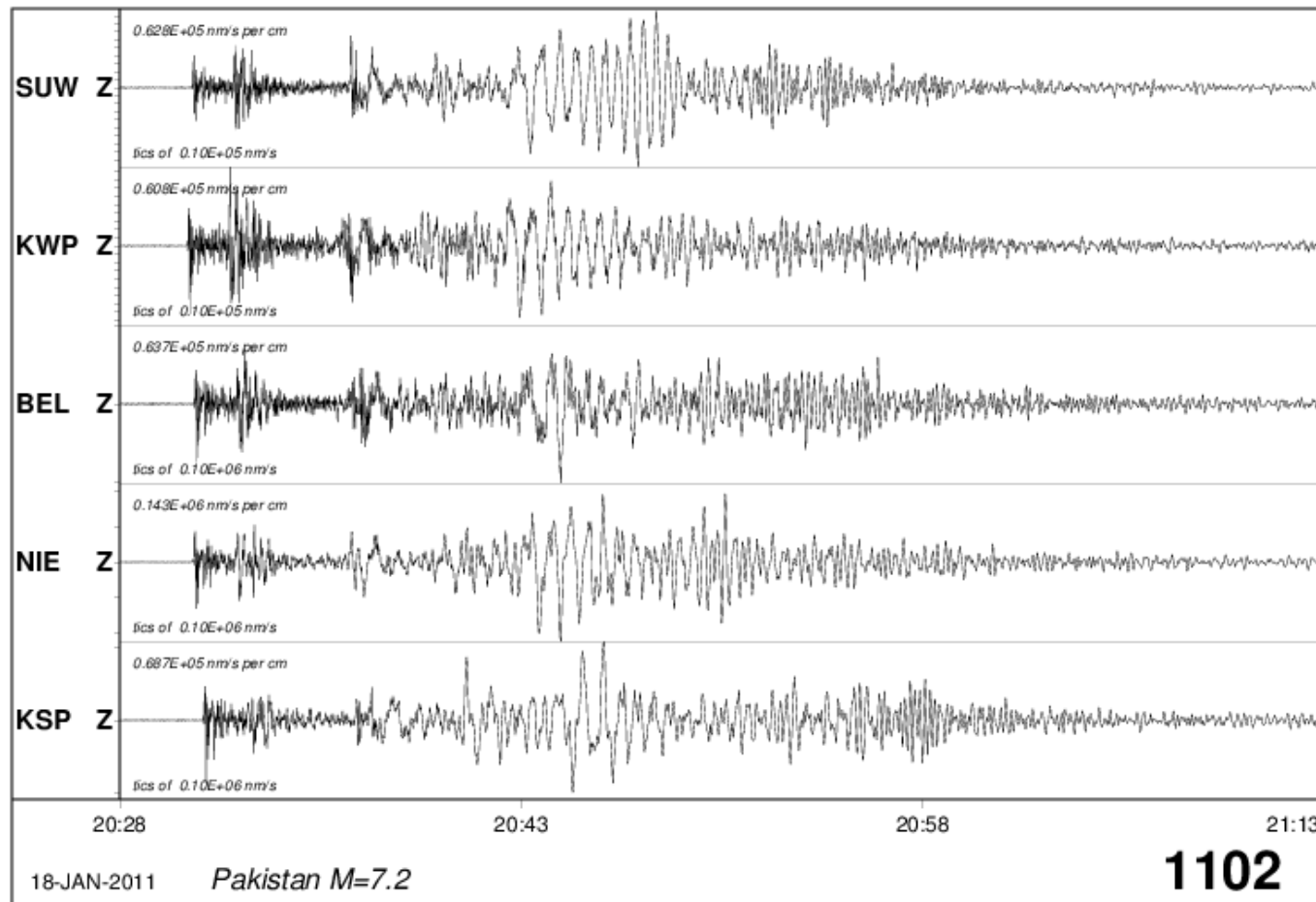
Seismic info - seismic phases



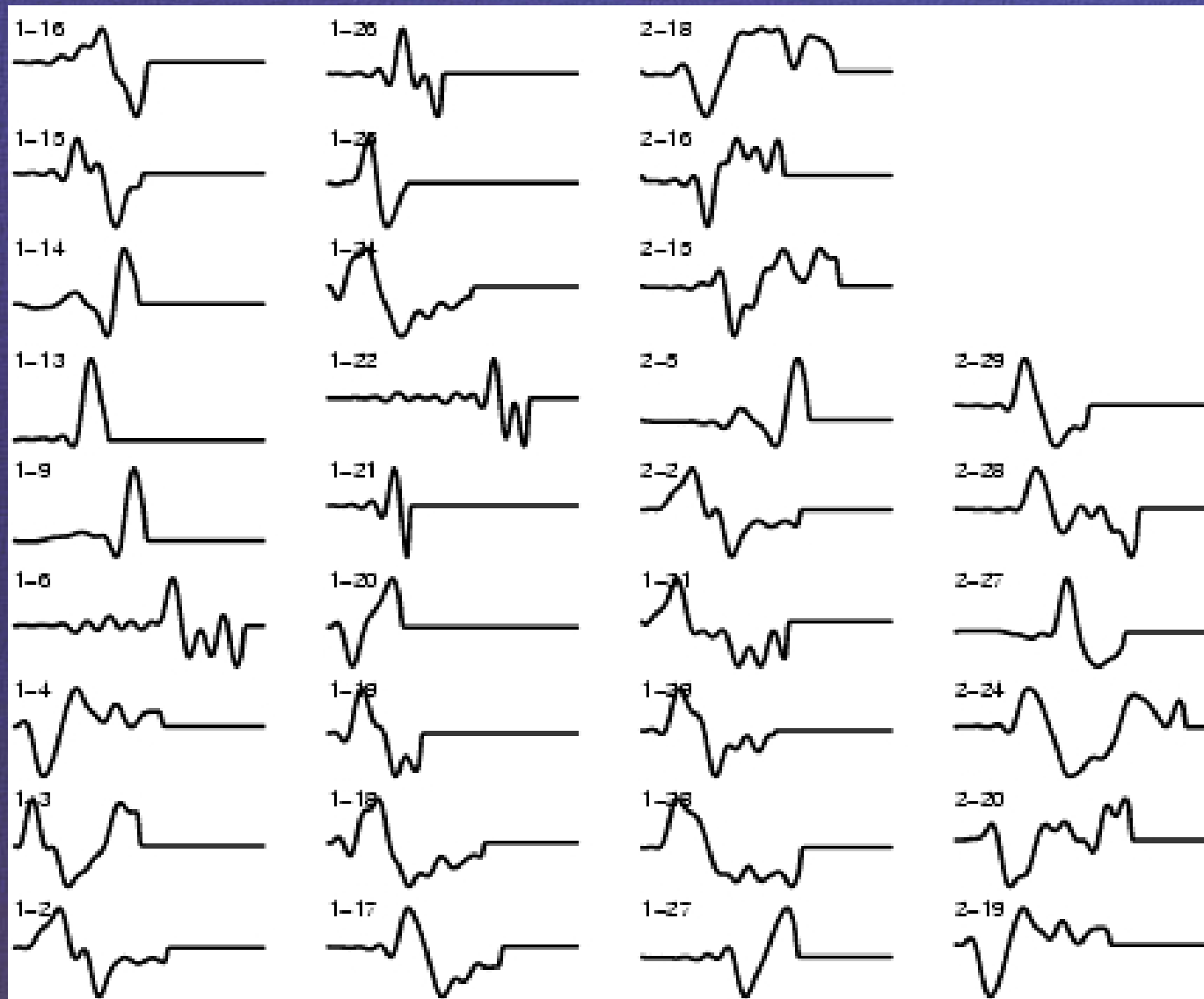
Seismic info - spectrum



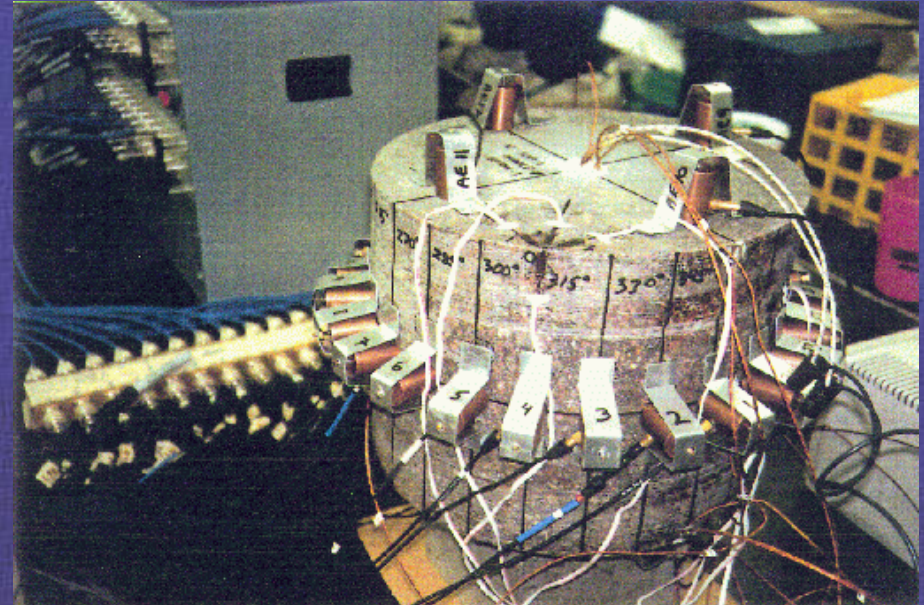
Seismic info - spatial variation (teleseismic event)



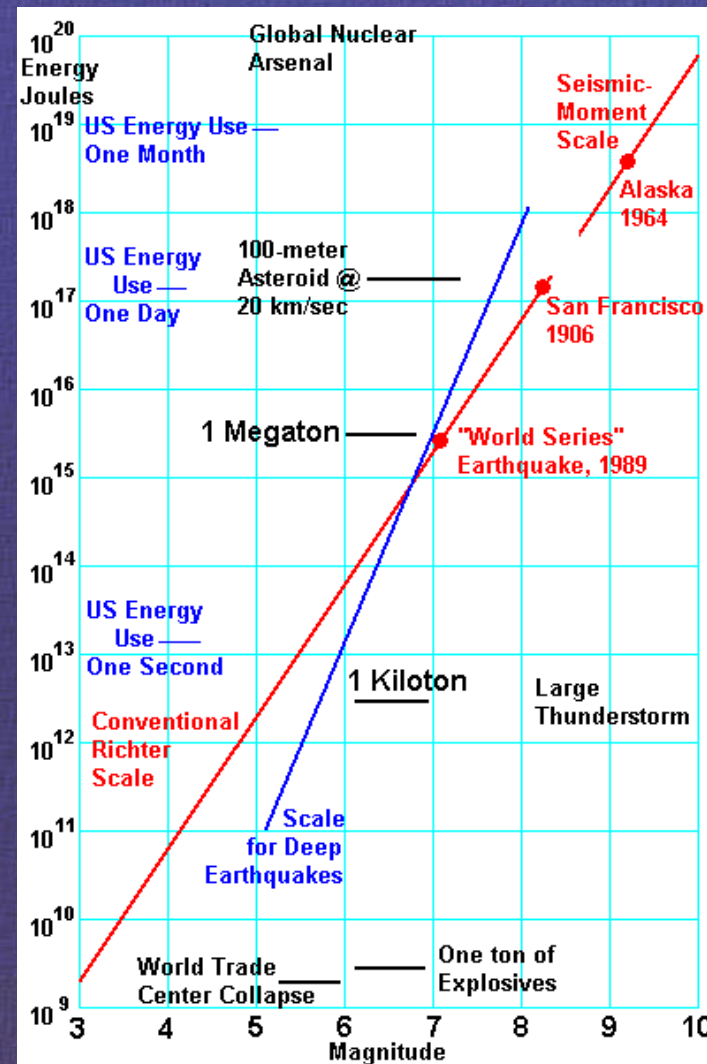
Seismic info - spatial variation (mining event)



From Earth to laboratory scale



From Earth to laboratory scale - energy range



Earthquakes



Earthquakes



Earthquakes

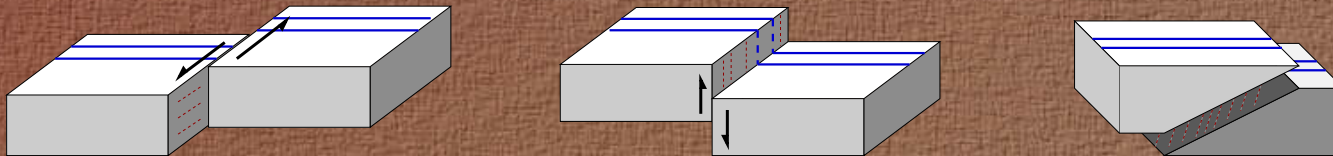


Earthquakes



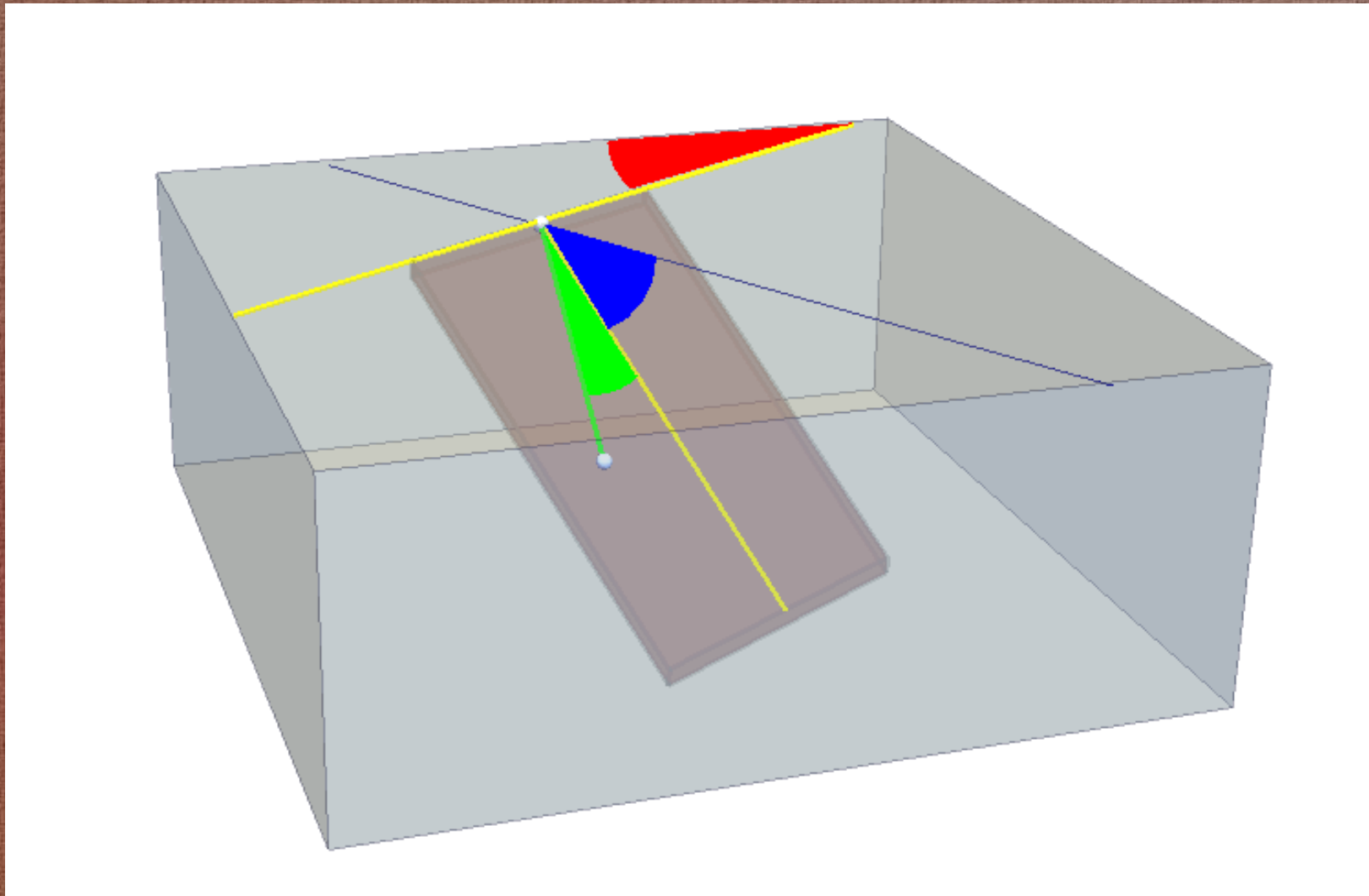
Seismic sources - geometry

Almost all natural, and most of human induced seismic events are shearing type events resulting from a rocks slip along given (fault) plane

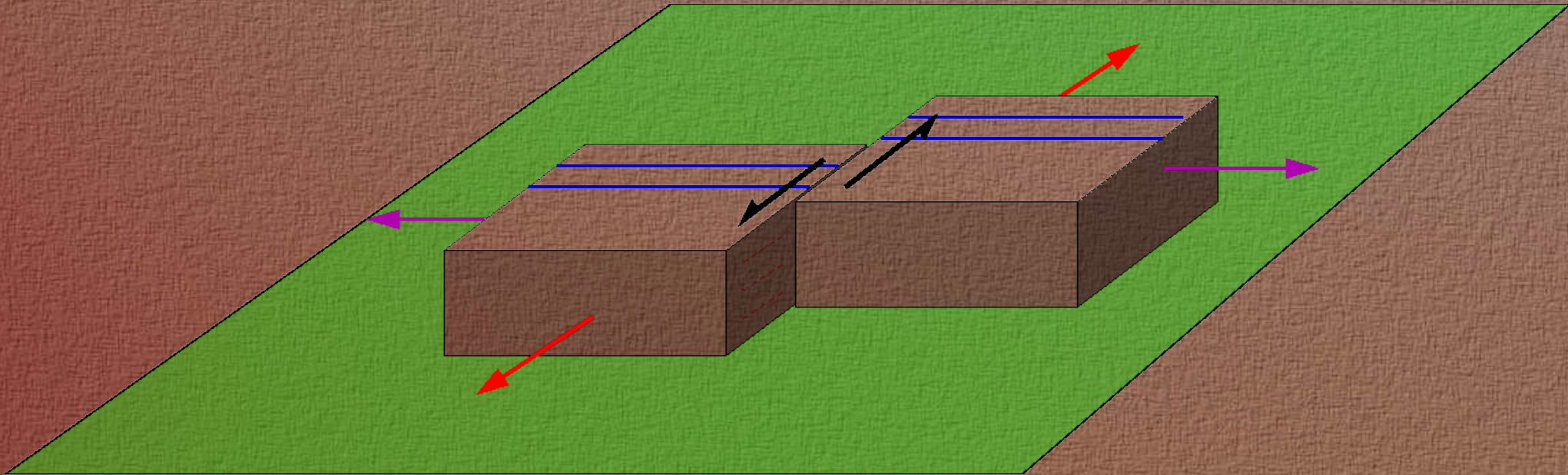


How to describe phenomenologically such sources?

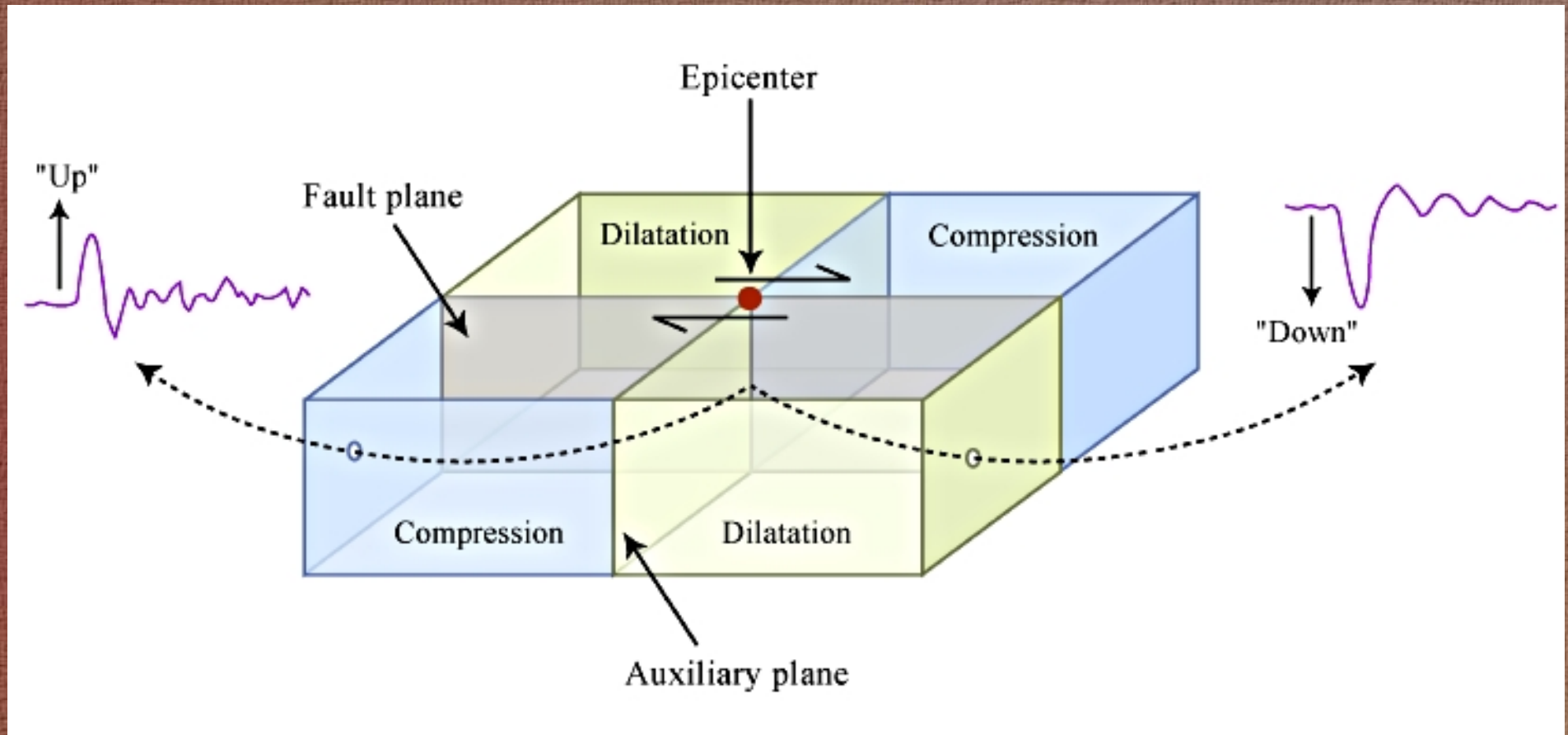
Rapture plane orientation



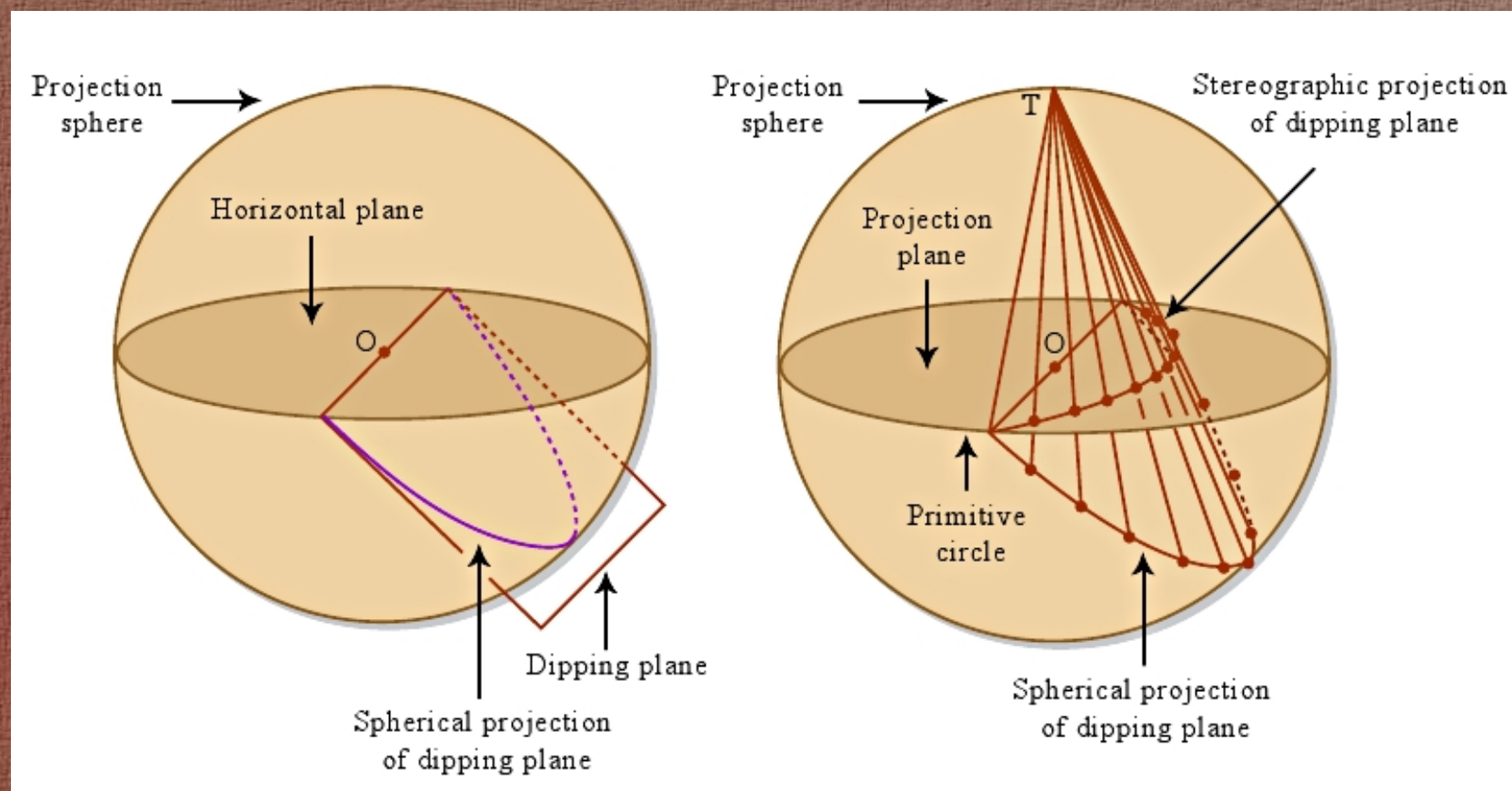
Equivalent forces - double couple



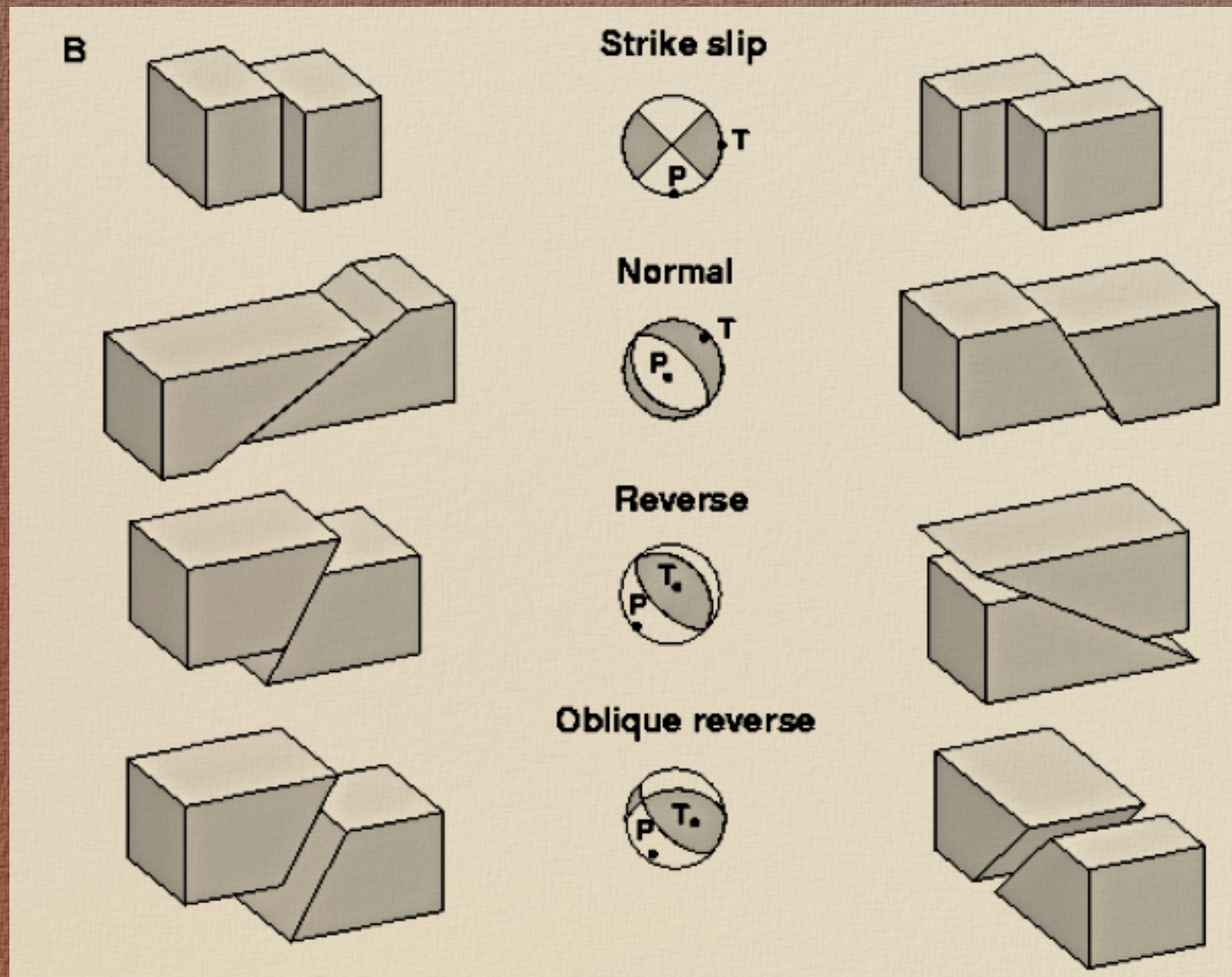
Shearing process - mechanism



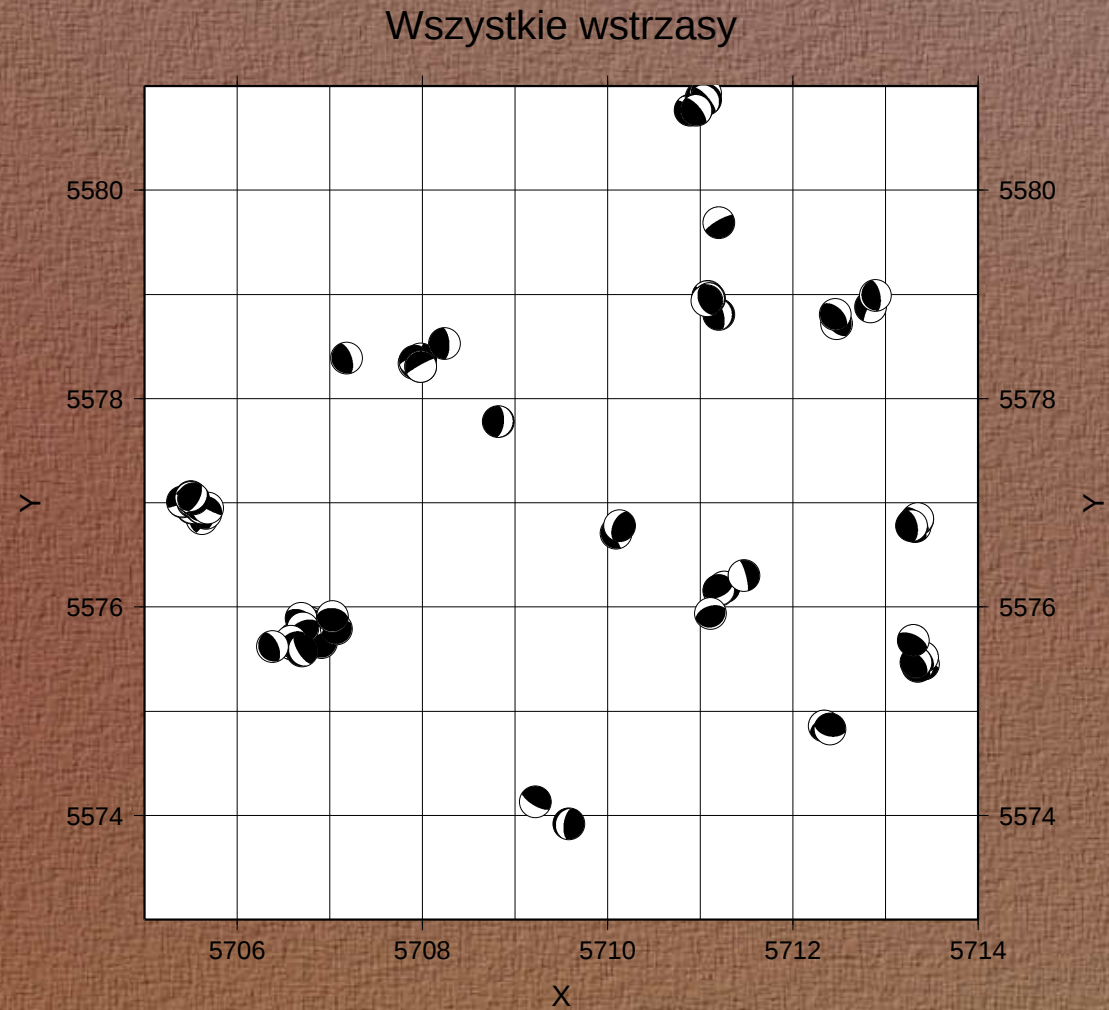
Fault plane solutions



Fault plane solutions - examples



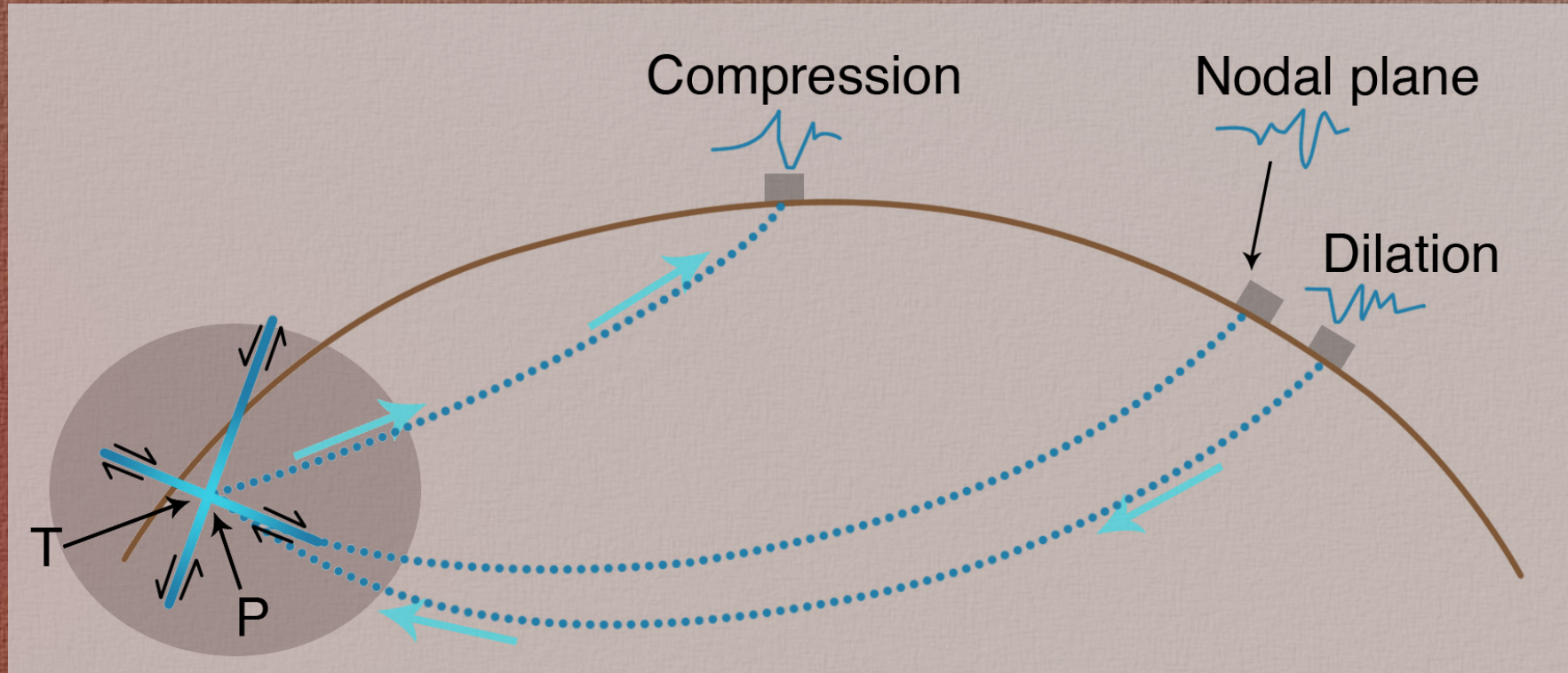
Fault plane solutions - Rudna copper mine



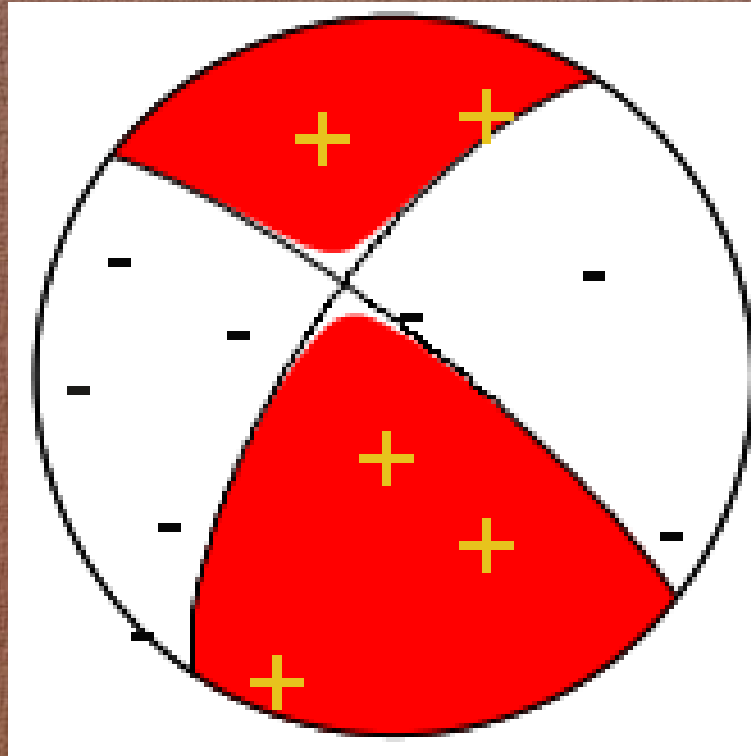
Fault plane solutions - methods

- ◆ Polarity of P-waves onsets
 - ◆ Moment tensor inversion
 - ◆ Full waveform inversion
-
- ◆ Time reversal ???

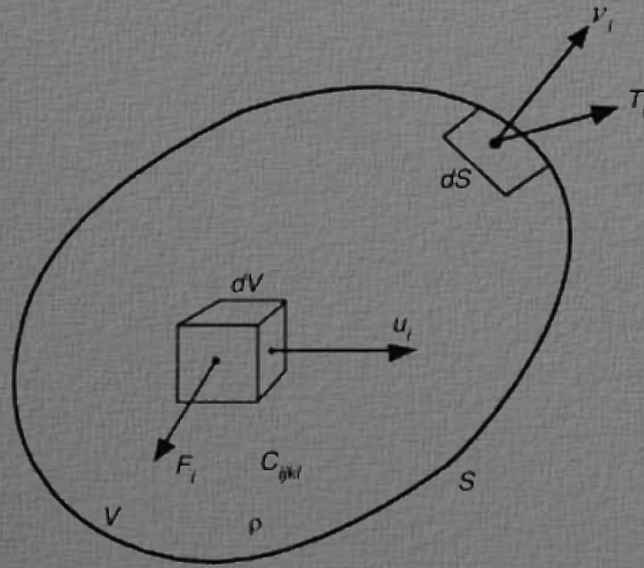
P waves polarity method (1)



P waves polarity method (2)



Mathematics of elastic waves



$$T_i = \tau_{ij}n_j$$

$$\epsilon_{ij} = \frac{1}{2}(\partial_i u_j + \partial_j u_i)$$

$$\frac{d}{dt} \int_V \rho v_i(x, t) dV = \int_S T_i(x, t) dS + \int_V F_i(x, t) dV$$

Mathematics of elastic waves

Using Stock's theorem

$$\int_V \rho \frac{\partial^2 u_i}{\partial t^2} dV = \int_V \frac{\partial \tau_{ij}}{\partial x_j} dV + \int_V F_i(x, t) dV$$

$$\rho \ddot{u}_i = \partial_j \tau_{ij} + F_i$$

Homogeneous isotropic elastic medium

Hook's law: $\tau_{ij} = c^{ijkl} \epsilon_{kl}$

Isotropic materials: $c^{ijkl} = \lambda \delta_{ij} \delta_{kl} + 2\mu \delta_{ik} \delta_{jl}$

Homogeneous medium: $\lambda, \mu = \text{const.}$

$$\rho \ddot{\mathbf{u}} = (\lambda + \mu) \nabla (\nabla \cdot \mathbf{u}) + \mu \nabla^2 \mathbf{u} + \mathbf{F}$$

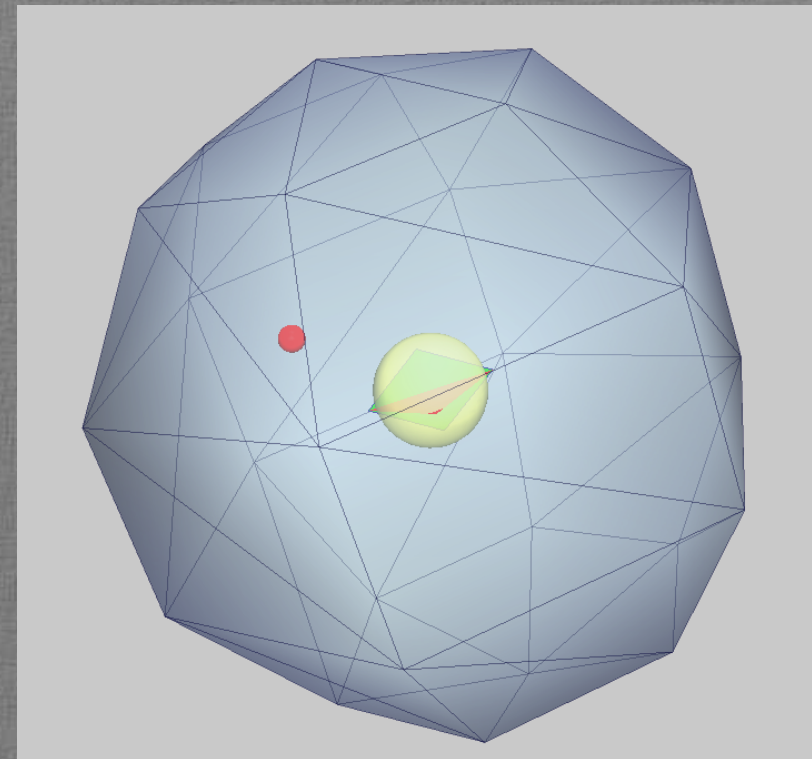
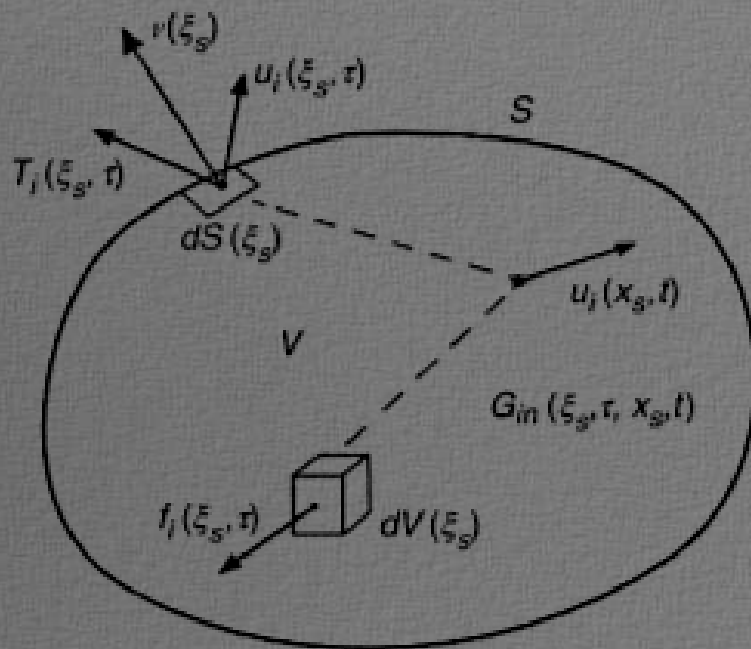
Representation theorem

Desired explicit solution for given source term (F_i)

Green's function: $(\rho\delta_{ik}\partial_t^2 + \partial_j\tau_{ij}) G_{ik} = e_k\delta(\mathbf{r}, \mathbf{t})$

$$\begin{aligned}
 u_n(x_s, t) &= \int_{-\infty}^{\infty} dt' \int_V \textcolor{red}{f}_i(\xi, t') G_{ni}(\xi, t'; x_s, t) d\xi \\
 &+ \int_{-\infty}^{\infty} dt' \int_S G_{ni}(\xi, t'; x_s, t) \textcolor{red}{T}_i(\xi, t') d\xi \\
 &- \int_{-\infty}^{\infty} dt' \int_S C_{ijkl} \textcolor{red}{u}_i(\xi, t') G_{nk,l}(\xi, t'; x_s, t) n_j(\xi) d\xi
 \end{aligned}$$

Interpretation - forward problem



Given $f_i, T|_{\Sigma}, u|_{\Sigma}$ one can **uniquely** determine $u(\mathbf{x})$

Interpretation - inverse problem

Given $u(\mathbf{x}, t)$ can source be determined uniquely ?

NO!

Three **equivalent** class of source models:

1. f_i - body force (intuitive)
2. $u|_{\Sigma}$ - kinematic (dislocation source)
3. $T|_{\Sigma}$ - dynamic (stress drop, friction, etc.)

Equivalence: Body force model

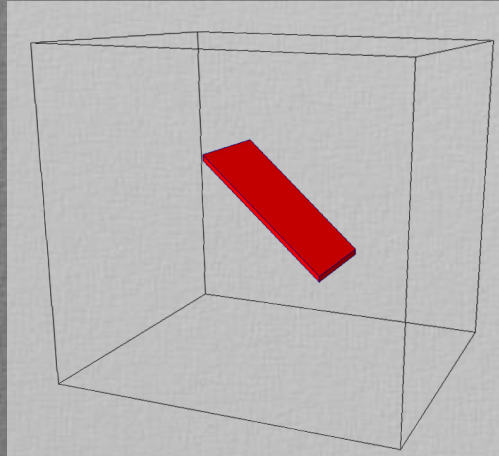
Point source approximation: $L \ll \lambda$, $L \ll R$

$$u_n(x_r, t) = \int_{-\infty}^{\infty} f_i(x_s, t') G_{ni}(x_s, t'; x_r, t) dt'$$

For DC source system (4 elementary sources)

$$u_n(x_r, t) = (e_k e_j + e_j e_k) \int_{-\infty}^{\infty} M(t') \frac{\partial G_{nk}(x_s - x_r, t - t')}{\partial x_j} dt'$$

Equivalence: Kinematic source model



$$u_n(x_s, t) = \int_{-\infty}^{\infty} dt' \int_S C_{ijkl} \Delta u_i(\xi, t') G_{nk,l}(\xi, t'; x_s, t) n_j(\xi) d\xi$$

Equivalence: Kinematic source model

Assumptions:

- ♦ point source, homogeneous medium, non-rotating rupture
- ♦ $\mathbf{e}^s$ - slip unit vector ($\Delta \mathbf{u} = \mathbf{e}^s \Delta u$)
- ♦ $\mathbf{e}^n$ - vector normal to rupture plane
- ♦ $\mathbf{e}^n \cdot \mathbf{e}^s = 0$ - pure shearing

$$u_n(x_s, t) = \int_{-\infty}^{\infty} S \Delta u \left(\lambda e_l^s e_l^n \delta_{ij} + \mu (e_i^s e_j^n + e_j^s e_i^n) \right) G_{ni,j}(x_s, t'; x_r, t) dt'$$

Equivalence:

Kinematic model:

$$u_n(x_s, t) = \int_{-\infty}^{\infty} (e_k^s e_j^n + e_j^s e_k^n) \underbrace{\mu S \Delta u(t')}_{M_o(t')} G_{nk,j}(x_s, t'; x_r, t) dt'$$

Body force model:

$$u_n(x_r, t) = (e_k e_j + e_j e_k) \int_{-\infty}^{\infty} M(t') G_{nk,j}(x_s, t'; x_r, t) dt'$$

Doble couple $\equiv$ shear dislocation source

Far field solution - homogeneous medium

Moment tensor

$$M_{ij}(t) = (e_k^s e_j^n + e_j^s e_k^n) \mu S \Delta u(t) = (e_k^s e_j^n + e_j^s e_k^n) M_o(t)$$

Source time function

$$M_{ij}(t) = m_{ij} M_o S(t)$$

Solution

$$u_i(x_s, t) = \frac{M_o}{4\pi\rho v_p^3 r} \gamma_i \gamma_j \gamma_k m_{jk} \dot{S}(t - r/v_p)$$

Moment tensor - generalisation

Three equivalent class of source models:

1. f_i - body force (intuitive)
2. $u|_{\Sigma}$ - kinematic (dislocation source)
3. $T|_{\Sigma}$ - dynamic (stress drop, friction, etc.)

Elastic wave equation

$$\rho \ddot{u}_i = \partial_j \tau_{ij} + F_i$$

Moment tensor - generalisation

Assumptions:

- ♦ source - a non-elastic process in a finite volume
- ♦ net sum of forces and moments in source is null
- ♦ absence of body forces ($F_i = 0$)

Total stress σ_{ij}

$$\sigma_{ij} = \tau_{ij} + m_{ij}$$

Moment tensor - generalisation

Wave equation

$$\rho \ddot{u}_i = \partial_j \tau_{ij}$$

Generalise (postulate)

$$\rho \ddot{u}_i = \partial_j \sigma_{ij}$$

$$\rho \ddot{u}_i = \partial_j \tau_{ij} - \underbrace{\partial_j m_{ij}}_{F_i}$$

Moment tensor represents the stresses responsible for non-elastic processes in the source volume

Moment tensor - generalisation

Moment tensor m_{ij} represents the general **point-like** source

$$u_i = \int_{-\infty}^{\infty} dt' \int_{V_o} F_i(\xi, t') G_{ni}(\xi, t'; x_s, t) dV_\xi$$

Taylor expansion:

$$G_{ik}(\xi_n) = G_{ik}(0) + \xi_j \frac{\partial G_{ik}}{\partial \xi_j} + O(\xi^2)$$

Moment tensor - generalisation

$$u_i = \int_{-\infty}^{\infty} dt' \int_{V_o} \xi_j F_k G_{ik,j}(\xi, t'; x_s, t) dV_\xi$$

null net forces:

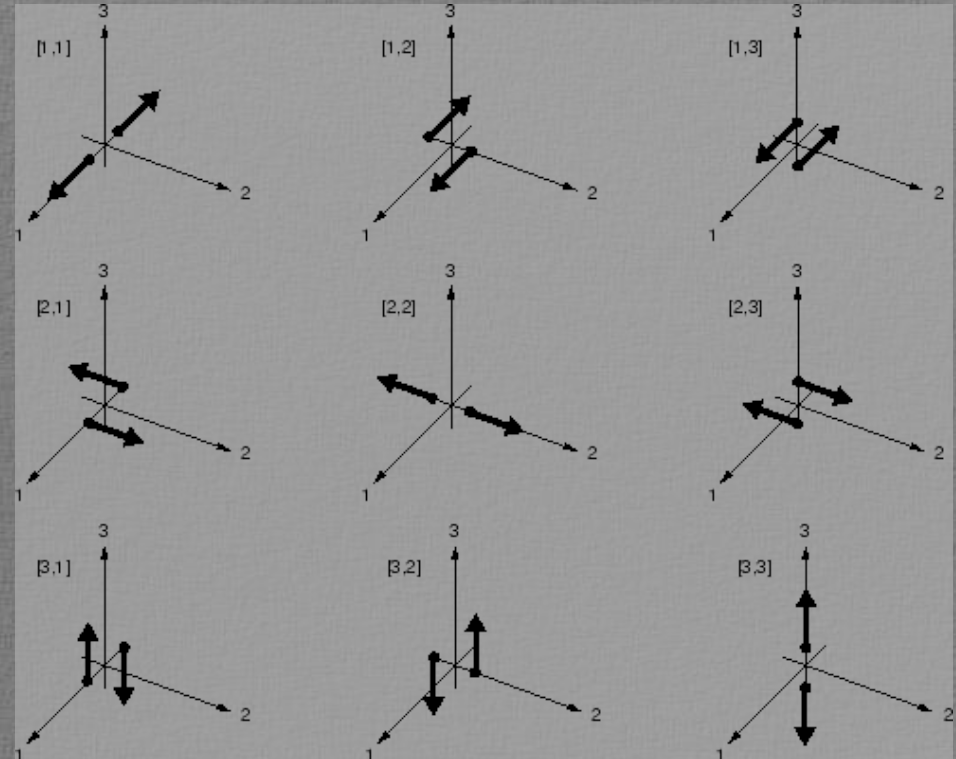
$$\int_{V_o} F_k(0) G_{ik}(0, t'; x_s, t) dV_\xi = 0$$

$$u_i = \int_{-\infty}^{\infty} dt' \int_{V_o} \xi_j F_k G_{ik,j}(\xi, t'; x_s, t) dV_\xi$$

$$m_{ij} = \xi_i F_j$$

Moment tensor - physical interpretation

$$M_{ij} = \begin{pmatrix} \sigma_{xx} & \sigma_{xy} & \sigma_{xz} \\ \sigma_{yx} & \sigma_{yy} & \sigma_{yz} \\ \sigma_{zx} & \sigma_{zy} & \sigma_{zz} \end{pmatrix}$$



Moment tensor - principle stresses

m_{ij} real, symmetric - can be diagonalized

$$(m_{ij} - \delta_{ij}\sigma) \cdot \vec{v}_j = 0$$

$\vec{v}_i$ - principle axes of inelastic stress in source volume

$$m_{ij} = \begin{pmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{pmatrix} \quad \sigma_1 > \sigma_2 > \sigma_3$$

Moment tensor - isotropic part

Isotropic part - inelastic dilatation - change of source volume

$$m_{ij}^I = \frac{1}{3} \text{Tr}(m_{ij}) \delta_{ij}$$

$$m_{ij}^I = \frac{1}{3}(\sigma_1 + \sigma_2 + \sigma_3)$$

Deviatoric part - processes with no volume change, e.g. shearing

$$m_{ij}^D = m_{ij} - m_{ij}^I$$

Moment tensor - deviatoric part

$$m_{ij}^D = m_{ij}^{DC} + m_{ij}^{CL}$$

where

$$\det(m_{ij}^{DC}) = 0$$

Double Couple part (pure shearing)

$$m_{ij}^{CL} = m_{ij}^D - m_{ij}^{DC}$$

Compensated Linear Vector Dipol (e.g. tensile crack)

$$\mathbf{m} = \mathbf{m}^{ISO} + \mathbf{m}^{DC} + \mathbf{m}^{CL}$$

DC - CLVD partitioning

$$\mathbf{m}^D = \mathbf{m}^{DC} + \mathbf{m}^{CL}$$

$$\begin{pmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{pmatrix} = \begin{pmatrix} \frac{1}{2}(\sigma_1 - \sigma_3) & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -\frac{1}{2}(\sigma_1 - \sigma_3) \end{pmatrix} + \begin{pmatrix} -\frac{1}{2}\sigma_2 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & -\frac{1}{2}\sigma_2 \end{pmatrix}$$

- ◆ DC - shearing process
- ◆ CLVD - change in μ perpendicular to rupture plane (crack opening, etc.)

Moment tensor - examples

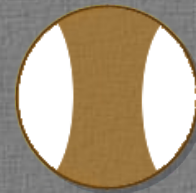
Explosive (ISO)

$$m_{ij} = (\lambda + \frac{2}{3}\mu)\Delta u \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



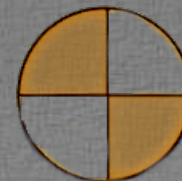
Tensile fracture (CLVD)

$$m_{ij} = A(\lambda, \mu)\Delta u \begin{pmatrix} 1 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



Shear fracture (DC)

$$m_{ij} = \mu\Delta u \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$



Moment tensor inversion

$$m_{ij}(t) = (e_k^s e_j^n + e_j^s e_k^n) M_o S(t)$$

Moment tensor inversion should comprise

-
- ➔ Nm=6 “Fault plane solution” $e_k^s e_j^n + e_j^s e_k^n$
 - ➔ Nm=1 Seismic scalar moment $M_o = \mu S \Delta u_o$
 - ➔ Nm=(∞) Source time function $S(t) = \Delta \dot{u} / u_o$
-

$$u_n(x_r, t) = \int_{-\infty}^{\infty} m_{ij}(t') G_{ni,j}(x_s, t'; x_r, t) dt'$$

Moment tensor inversion software

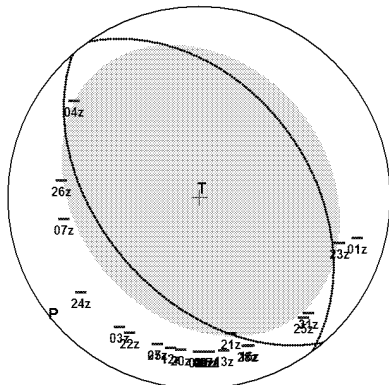
VEBSN Repository: <http://www.orfeus-eu.org/>

- ◆ Foci
 - ◆ FOCMEC
 - ◆ ISOLA-GUI
 - ◆ Seismic Analysis Code (SAC)
-

Time Reversal Moment Tensor inversion (TRMT)
(under development)

$$u_n(x, t) = \int_{-\infty}^{\infty} M_{kl}(\xi, t') G_{nk,l}(\xi, t'; x_s, t) dt'$$

Moment tensor inversion - examples



Full solution

$M_{11} = -1,12\text{E}+13$ [Nm] $M_{12} = -7,81\text{E}+12$ [Nm] $M_{13} = +4,04\text{E}+12$ [Nm]
 $M_{21} = -7,81\text{E}+12$ [Nm] $M_{22} = -1,50\text{E}+13$ [Nm] $M_{23} = +1,37\text{E}+12$ [Nm]
 $M_{31} = +4,04\text{E}+12$ [Nm] $M_{32} = +1,37\text{E}+12$ [Nm] $M_{33} = +4,54\text{E}+13$ [Nm]

Rupture time: 50,7[ms]
 Seismic moment: $3,59\text{E}+13$ [Nm]
 Total seismic moment: $4,06\text{E}+13$ [Nm]
 Error: $6,77\text{E}+11$ [Nm]
 Seismic moment magnitude: 3,0

Decomposition:
 EXPL: 18,8 CLVD: 33,9 DBCP: 47,4

Nodal planes:
 1: 139,0/48,1 2: 324,2/42,0

Axes (trend/plunge):
 P: 231/3 T: 11/86 B: 141/3

Quality factor: 68
 Classification: Reverse fault

Trace-null solution

$M_{11} = -1,41\text{E}+13$ [Nm] $M_{12} = -1,00\text{E}+13$ [Nm] $M_{13} = -3,05\text{E}+12$ [Nm]
 $M_{21} = -1,00\text{E}+13$ [Nm] $M_{22} = -1,24\text{E}+13$ [Nm] $M_{23} = -1,63\text{E}+12$ [Nm]
 $M_{31} = -3,05\text{E}+12$ [Nm] $M_{32} = -1,63\text{E}+12$ [Nm] $M_{33} = +2,65\text{E}+13$ [Nm]

Rupture time: 50,7[ms]
 Seismic moment: $2,35\text{E}+13$ [Nm]
 Total seismic moment: $2,53\text{E}+13$ [Nm]
 Error: $7,07\text{E}+11$ [Nm]
 Seismic moment magnitude: 2,9

Decomposition:
 CLVD: 13,5 DBCP: 86,5

Nodal planes:
 1: 310,9/48,9 2: 134,2/41,2

Axes (trend/plunge):
 P: 42/4 T: 199/86 B: 312/2

Quality factor: 72
 Classification: Reverse fault

Double couple solution

$M_{11} = -1,29\text{E}+13$ [Nm] $M_{12} = -1,16\text{E}+13$ [Nm] $M_{13} = -2,78\text{E}+12$ [Nm]
 $M_{21} = -1,16\text{E}+13$ [Nm] $M_{22} = -1,04\text{E}+13$ [Nm] $M_{23} = -1,59\text{E}+12$ [Nm]
 $M_{31} = -2,78\text{E}+12$ [Nm] $M_{32} = -1,59\text{E}+12$ [Nm] $M_{33} = +2,33\text{E}+13$ [Nm]

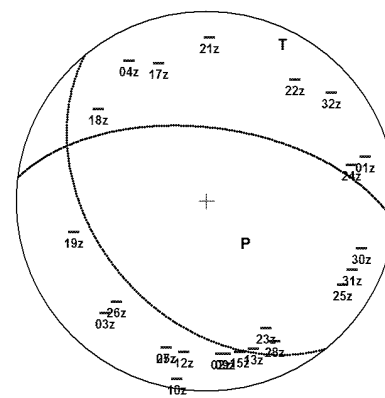
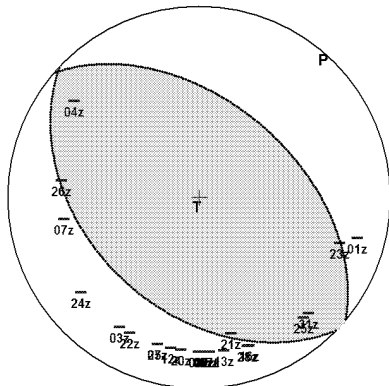
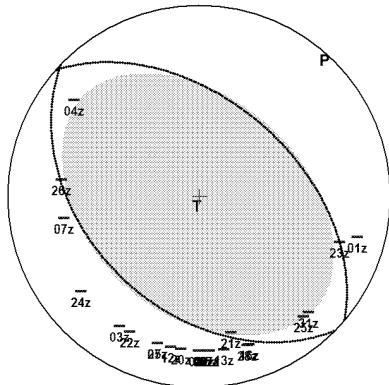
Rupture time: 50,7[ms]
 Seismic moment: $2,35\text{E}+13$ [Nm]
 Total seismic moment: $2,35\text{E}+13$ [Nm]
 Error: $7,50\text{E}+11$ [Nm]
 Seismic moment magnitude: 2,9

Decomposition:
 DBCP: 100,0

Nodal planes:
 1: 310,4/48,9 2: 133,7/41,2

Axes (trend/plunge):
 P: 42/4 T: 199/86 B: 312/2

Quality factor: 54
 Classification: Reverse fault



Full solution

$M_{11} = -1,30\text{E}+12$ [Nm] $M_{12} = +1,08\text{E}+12$ [Nm] $M_{13} = +1,95\text{E}+12$ [Nm]
 $M_{21} = +1,08\text{E}+12$ [Nm] $M_{22} = -2,07\text{E}+12$ [Nm] $M_{23} = -1,53\text{E}+12$ [Nm]
 $M_{31} = +1,95\text{E}+12$ [Nm] $M_{32} = -1,53\text{E}+12$ [Nm] $M_{33} = -6,98\text{E}+12$ [Nm]

Rupture time: 39,7[ms]
 Seismic moment: $5,89\text{E}+12$ [Nm]
 Total seismic moment: $7,70\text{E}+12$ [Nm]
 Error: $5,14\text{E}+11$ [Nm]
 Seismic moment magnitude: 2,5

Decomposition:
 EXPL: -30,3 CLVD: -14,4 DBCP: 55,3

Nodal planes:
 1: 276,9/58,2 2: 140,7/40,6

Axes (trend/plunge):
 P: 137/65 T: 26/9 B: 292/23

Quality factor: 65
 Classification: Normal fault

Trace-null solution

$M_{11} = -1,55\text{E}+12$ [Nm] $M_{12} = +1,52\text{E}+12$ [Nm] $M_{13} = +2,21\text{E}+12$ [Nm]
 $M_{21} = +1,52\text{E}+12$ [Nm] $M_{22} = -4,81\text{E}+12$ [Nm] $M_{23} = +3,07\text{E}+10$ [Nm]
 $M_{31} = +2,21\text{E}+12$ [Nm] $M_{32} = +3,07\text{E}+10$ [Nm] $M_{33} = +6,36\text{E}+12$ [Nm]

Rupture time: 39,7[ms]
 Seismic moment: $5,46\text{E}+12$ [Nm]
 Total seismic moment: $6,34\text{E}+12$ [Nm]
 Error: $6,09\text{E}+11$ [Nm]
 Seismic moment magnitude: 2,5

Decomposition:
 CLVD: 27,2 DBCP: 72,8

Nodal planes:
 1: 36,7/60,8 2: 188,3/42,8

Axes (trend/plunge):
 P: 113/4 T: 8/75 B: 204/14

Quality factor: 67
 Classification: Reverse fault

Double couple solution

$M_{11} = -4,55\text{E}+11$ [Nm] $M_{12} = +1,99\text{E}+12$ [Nm] $M_{13} = +1,52\text{E}+12$ [Nm]
 $M_{21} = +1,99\text{E}+12$ [Nm] $M_{22} = -4,61\text{E}+12$ [Nm] $M_{23} = -1,85\text{E}+11$ [Nm]
 $M_{31} = +1,52\text{E}+12$ [Nm] $M_{32} = -1,85\text{E}+11$ [Nm] $M_{33} = +5,07\text{E}+12$ [Nm]

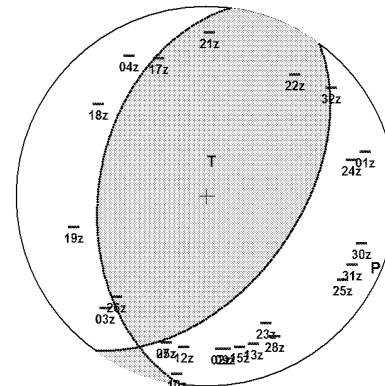
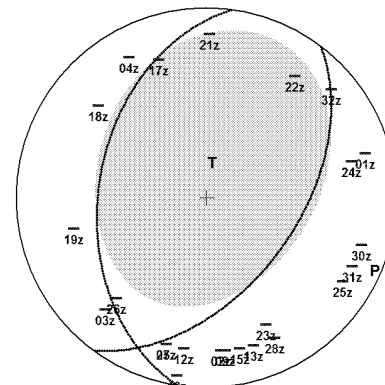
Rupture time: 39,7[ms]
 Seismic moment: $5,46\text{E}+12$ [Nm]
 Total seismic moment: $5,46\text{E}+12$ [Nm]
 Error: $7,22\text{E}+11$ [Nm]
 Seismic moment magnitude: 2,5

Decomposition:
 DBCP: 100,0

Nodal planes:
 1: 36,1/60,8 2: 187,7/42,8

Axes (trend/plunge):
 P: 113/4 T: 7/75 B: 204/14

Quality factor: 49
 Classification: Reverse fault



Thank you